

Effective Data Stewardship: From Planning to Implementation

Presented by Renaine Julian as part of “Managing People and Data”
May 13, 2016



Overview

Defining Research Data

Data Management and the Research (data) Lifecycle

Federal Funder Mandates

Data Repositories

Help with RDM and other library resources

What is Research Data

“Data are outputs of research and inputs to scholarly publications and inputs to subsequent sharing and learning” (Borgman 2007)



What is Research Data

“...The recorded factual material commonly accepted in the scientific community as necessary to validate research findings.” (2 CFR 200.315(3))





What is not Research Data?

Preliminary Analysis

Drafts of papers

Plans for future research

Peer reviews

Communication with colleagues

What is not Research Data?



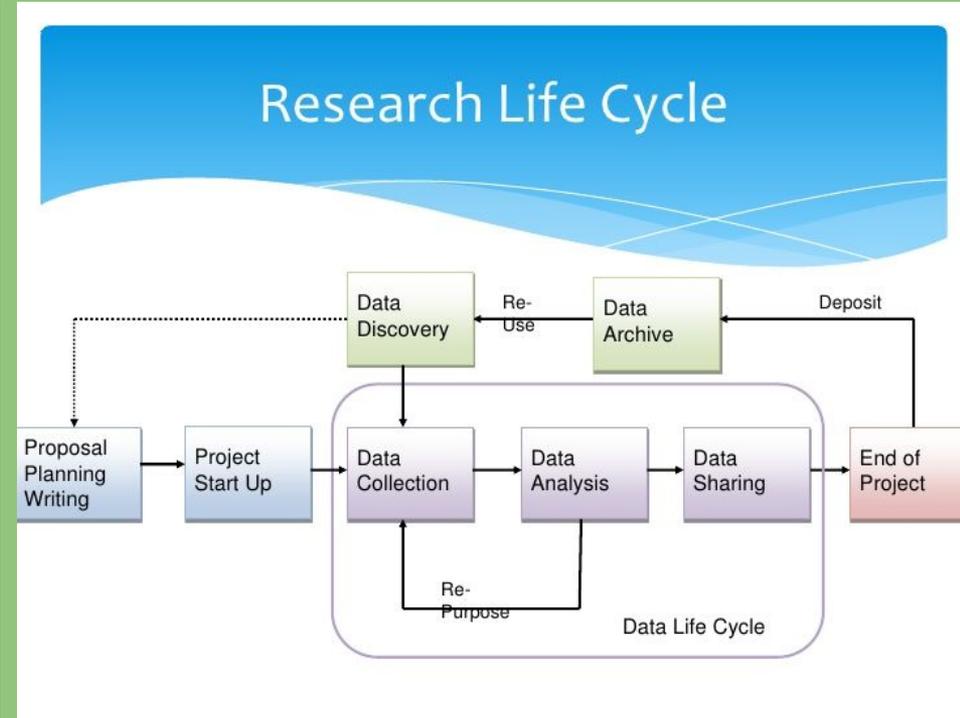
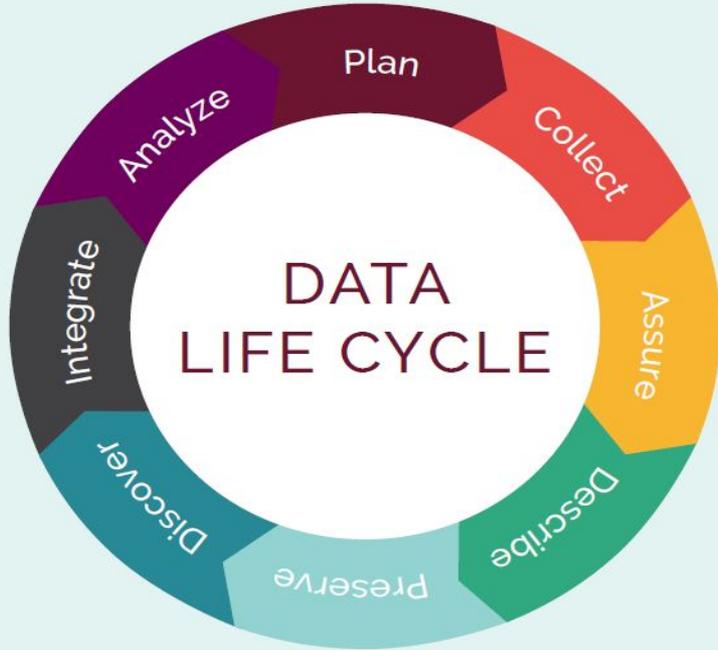
Trade secrets, commercial information, materials necessary to be held confidential by a researcher until they are published, or similar information which is protected under law

Personnel and medical information and similar information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy, such as information that could be used to identify a particular person in a research study.

What is Research Data Management

“Research Data Management is part of the research process, and aims to make the research process as efficient as possible, and meet expectations and requirements of the university, research funders, and legislation”

Source: University of Leicester, <http://www2.le.ac.uk/services/research-data/rdm/what-is-rdm>



Why Manage Research Data?

“And yet, research data is the currency of science, even if publications are still the currency of tenure. To be able to exchange data, communicate it, mine, it, reuse it, and review it is essential to scientific productivity, collaboration, and to discovery itself”
(Gold 2007)

Why Manage Research Data

Transparency & Integrity

Personal Benefit

Reproducibility

Compliance

Why Manage Research Data

“The Administration is committed to ensuring that...the direct results of federally funded scientific research are made available to and useful for the public, industry, and the scientific community. Such results include peer-reviewed publications and digital data” (Holdren 2013).





National Institutes
of Health



BILL & MELINDA
GATES *foundation*



ALFRED P. SLOAN
FOUNDATION

National Science Foundation



Data Sharing Policy: Investigators are expected to share with other researchers, at no more than incremental cost and within a reasonable time, the primary data, samples, physical collections and other supporting materials created or gathered in the course of work under NSF grants. Grantees are expected to encourage and facilitate such sharing.

Data Management Plan Requirements: As of January 18, 2011, a separate data management plan (DMP) limited to two pages is required for all proposals submitted to NSF. The DMP should include specific details of data standards, accessibility, electronic dissemination, and sustainability.

Directorates from the NSF have their own data management requirements.

National Science Foundation



1. Describe the types of data, physical samples or collections, software, curriculum materials, and other materials to be produced in the course of the project.
2. Describe the standards to be used for all the data types anticipated, including data or file format and metadata (where existing standards are absent or deemed inadequate, this should be documented along with any proposed solutions or remedies)
3. Describe the roles and responsibilities of all parties with respect to the management of the data
4. Describe the dissemination methods that will be used to make data and metadata available to others during the period of the award, and any modifications or additional technical information regarding data access after the grant ends.
5. Describe the PI's policies for data sharing, public access and reuse, including re-distribution by others and the production of derivatives. Where appropriate, include provisions for protection of privacy, confidentiality, security, intellectual property rights and other rights.
6. Where relevant, describe plans for archiving data, samples, software, and other research products, and for on-going access to these products through their lifecycle of usefulness to research and education. Consider which data (or research products) will be deposited for long-term access and where.



National Institutes of Health

NIH Public Access Policy for publications has been a requirement since 2008. Investigators seeking \$500,000 or more in direct costs in any year should include a description of how final research data will be shared, or explain why data sharing is not possible

It is expected that the data sharing discussion will be provided primarily in the form of a brief paragraph immediately following the Research Plan Section of the application form

[NIH Data Sharing Policy and Implementation Guidance](#)



National Institutes of Health

Things to include in the Data Sharing Plan

Description of data collected/created

Data formats and types

Plan for disseminating research data

Timeline for disseminating research data

Description of data documentation

Description of Data Sharing Agreement (if applicable)

National Oceanographic and Atmospheric Administration



1. Type of data and information created
2. Expected Schedule for data sharing
3. Standards for format and content
4. Policies for stewardship and preservation
5. Procedures for providing access
6. Previously published data

U.S. Department of Energy



1. Data Types and Sources
2. Content and Format
3. Data Sharing and PReservation
4. Protection
5. Rationale

Beginning Oct 1, 2015, each DOE sponsoring research office will ensure that the requirements for DMPs are included in all solicitations and invitations for research funding with details about how and when a DMP should be submitted.

DMPTool

Developed by California Digital Library

Data Management Templates by Funding Agency

FSU as a Partner Institution

Community Involvement and Participation



Data Repositories

Preservation

Curation

Interoperability

Support Reproducibility

Citation and Linking

Access Control (restricted-use data)

Community engagement



Types of Data Repository

Research Repository

Domain (subject) Repository

National Repository

Institutional Repository



RDM Services at FSU

Data Management Plan
Assistance

Data Management Planning
Tools

Consultation on Data
Management Implementation

The screenshot displays the Florida State University Libraries website. At the top, there is a dark red header with the FSU logo and the text "FLORIDA STATE UNIVERSITY LIBRARIES". A search bar is located on the right side of the header. Below the header is a navigation menu with links for "Home", "Research", "Services", "Libraries", "Special Collections", "Connect", "About", and "FAQ". The main content area is titled "Data Management" and includes a sidebar menu for "Digital Research and Scholarship" with sub-items like "DRS Home", "DRS Team", "Events", "Academic Publishing", "Data Services" (highlighted), "Digital Projects", and "Researcher Development". The main text explains the importance of data management and provides resources for creating data management plans, including a link to the "Data Management Guide" and contact information for the Data Research Librarian, Julian.

FLORIDA STATE UNIVERSITY
LIBRARIES

Website Search... Search

Catalog Search | OneSearch | My Account

Website Login Home Research Services Libraries Special Collections Connect About FAQ

Home » Digital Research & Scholarship » Data Management

Digital Research and Scholarship

DRS Home

DRS Team

Events

Academic Publishing ▶

Data Services

Data Management

Digital Projects

Researcher Development ▶

Data Management

Managing data is an effective strategy for ensuring that data will be usable, preserved, maintained and accessible throughout the life cycle of a research project and for future generations of scientific research. Moreover, federal funding agencies are now requiring data management plans as part of grant proposals.

University Libraries works in partnership with the [Office of Proposal Development](#) and the [Research Computing Center](#) to provide resources and information about data management planning, data storage, funding agency requirements, data curation tools, and options for sharing, licensing of publishing data sets.

FSU Libraries supports [DMETool](#), which is a tool that facilitates the creation, review, and sharing of data management plans. You can select templates by funder agency and begin creating a data management plan.

Learn more about FSU Data Management resources on our [Data Management Guide](#) or [this Research Guide](#).

Questions about data management can be sent to lib-datamgmt@fsu.edu or directed to Renaine Julian, Data Research Librarian, julian@fsu.edu, 027G Strozier Library.

Data Management Plans

Library Hours Maps and Directions Employment Giving to the Libraries The FSULib Blog Website Login Staff Intranet

© 2016 Florida State University Libraries, 116 Honors Way | Tallahassee, FL 32306 | (850) 644-2706

GIVING TO THE LIBRARIES

Resources for PostDocs at FSU

Collections: Journals, Databases, Books, Data!

Citation Management

Impact Analysis

Scholarly Publishing

References

- Borgman, C.L. (2007) *Scholarship in the Digital Age : Information, infrastructure, and the Internet*. Cambridge, MA. : MIT Press
- Office of Management and Budget Requirements, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 CFR 200.315 (2016)
- Gold, A. *Cyberinfrastructure, Data, and Libraries, Part 1: A Cyberinfrastructure Primer for Librarians*. D-Lib Magazine, September/October, 2007, Volume 13 Number 9/10 <http://www.dlib.org/dlib/september07/gold/09gold-pt1.html>
- MIT Libraries. (2013) “Data Management and Publishing.” MIT <http://libraries.mit.edu/guides/subjects/data-management/index.html> .
- University of Leicester Research Data Definitions: https://www2.le.ac.uk/services/research-data/documents/UoL_ResearchDataDefinitions_20120904.pdf
- Office of Research Integrity (2001) “Data Management.” United States Department of Health and Human Services. United States Federal Government. <http://ori.hhs.gov/education/products/rcradmin/topics/data/open.shtml>.
- National Science Foundation. *Dissemination and Sharing of Research Results*. <http://www.nsf.gov/bfa/dias/policy/dmp.jsp>
- National Institutes of Health. *NIH Data Sharing Policy and Implementation Guidance*. http://grants.nih.gov/grants/policy/data_sharing/data_sharing_guidance.htm
- National Oceanographic and Atmospheric Administration. (2015) *NOAA Plan for Increasing Public Access to Research*. http://docs.lib.noaa.gov/noaa_documents/NOAA_Research_Council/NOAA_PARR_Plan_v5.04.pdf
- U.S. Department of Energy. (2015) *DOE Public Access Plan* <http://www.energy.gov/downloads/doe-public-access-plan>
- ICPSR, 2013. “Sustaining Domain Repositories for Digital Data: A White Paper”. http://datacommunity.icpsr.umich.edu/sites/default/files/WhitePaper_ICPSR_SDRDD_121113.pdf
- Ambruster, Chris and Romary, Laurent, Comparing Repository Types: Challenges and Barriers for Subject-Based Repositories, Research Repositories, National Repository Systems and Institutional Repositories in Serving Scholarly Communication (November 23, 2009). Available at SSRN: <http://ssrn.com/abstract=1506905> or <http://dx.doi.org/10.2139/ssrn.1506905>